

UBS

T-12 production	Standard Grid Rate	Wrap Rate
\$0 - \$199,999	28%	31%
\$200,000 - \$249,999	30%	33%
\$250,000 - \$299,999	33%	36%
\$300,000 - \$399,999	35%	38%
\$400,000 - \$599,999	39%	42%
\$600,000 - \$749,999	41%	44%
\$750,000 - \$999,999	42%	45%
\$1m - \$1.749m	43%	46%
\$1.75m - \$2.99m	44%	47%
\$3,000,000 +	45%	48%

New Wealth Management Award 2011

"First dollar in" program: Eligible Revenue	Award Rate
\$0 - \$199,999	0.5%
\$200,000 - \$749,999	1.0%
\$750,000 - \$1,249,999	1.5%
\$125,000,000 +	2.0%

*No minimum threshold for qualifying revenue. Revenue types include: Wrap fees, securities-backed lending, trails, financial planning fees, life insurance commissions, and mortgages.

Net New Asset Award

NNA Growth	Award
First \$50m (min \$5m)	15 bps
\$50m - \$100m	10 bps
\$100m - \$150m	5 bps
\$150m - \$200m	2.5 bps
\$200,000,000 +	1 bp